



1/F

PTO/SB/17 (10-07)
Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2008		Complete if Known		
		Application Number	09/393,024-Conf. #2147	
		Filing Date	September 9, 1999	
		First Named Inventor	Joseph P. Healy	
		Examiner Name	D. S. Felten	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	Art Unit	3696		
TOTAL AMOUNT OF PAYMENT	(\$)	0.00	Attorney Docket No.	02543-128329

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	210	105
Multiple dependent claims	370	185

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>
				<u>Fee (\$)</u> <u>Fee Paid (\$)</u>
HP = highest number of total claims paid for, if greater than 20.				
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	
HP = highest number of independent claims paid for, if greater than 3.				

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): _____

SUBMITTED BY			
Signature	<u>Steven J. Schwarz</u>	Registration No. (Attorney/Agent)	Telephone (202) 344-4004
Name (Print/Type)	Steven J. Schwarz	47,070	Date 6/5/2008

#925956v2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Joseph P. HEALY et al.
(formerly Suzanne S. ALLISON et al.)

Appl. No.: 09/393,024

Confirmation No.: 2147

Filing Date: September 9, 1999

For: SYSTEM FOR FINANCIAL
PLANNING

Art Unit: 3696

Examiner: Daniel S. Felten

Atty. Docket No.: 2543-128329

Customer No. 26694

RESPONSE TO RESTRICTION REQUIREMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Restriction Requirement in the Office Action mailed May 6, 2008, the Applicant hereby elects the invention covered by Group I, claims 2-21, 23, 32, and 39-42, drawn to a computer implemented method for selecting at least one financial strategy from a plurality of financial strategies. This election is made *with traverse* for the reasons set forth below in the Remarks section. The Applicant reserve the right to file one or more divisional applications for the non-elected claims.